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### SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is ODCSOPS

#### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).  
**PRINCIPAL PURPOSE:** To provide commanders and law enforcement officials with means by which information may be accurately identified.  
**ROUTINE USES:** Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.  
**DISCLOSURE:** Disclosure of your social security number is voluntary.

1. LOCATION Guthrie Ambulatory Health Clinic	2. DATE (YYYYMMDD) 2007/09/26	3. TIME 1046	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME [REDACTED]	6. SSN [REDACTED]	7. GRADE/STATUS [REDACTED]	
8. ORGANIZATION OR ADDRESS 11050 Mt. Belvedere Blvd., Fort Drum New York 13602-5004			

9. I, [REDACTED], WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

1. Previously on during your interview on 11 June 2007, you mentioned you had informed the lab leadership regarding Mr. Richard Blunden utilizing the laboratory services even though he was not a beneficiary. Who are you referring to as the labs leadership.

I talked to [REDACTED] the Chief of Laboratory Services.

2. What was [REDACTED] response to you for bringing forward the issue of Mr. Blunden not being a beneficiary and accessing laboratory services?

[REDACTED] said that she was aware of the regulation that employees are not authorized to use the laboratory services unless lab work is ordered by Occupational Health.

3. What were [REDACTED] instructions to you regarding non beneficiaries accessing the lab to have lab work drawn?

[REDACTED] said that individuals who are not beneficiaries should not have lab work drawn unless they are beneficiaries.

You mentioned during your previous interview that when you spoke up about Mr. Blunden accessing laboratory services, you were labeled as a "trouble maker" What do you mean by this statement?

I mean that sometimes when people bring up things that are not right within the system, you receive blame for what you did, in other words drawing Mr. Blunden's lab work, instead of getting help in solving the problem of non beneficiaries accessing the lab.

5. Did you feel you could not speak up regarding non beneficiaries accessing the laboratory?

I just feel sometimes that I should bring things up, but as the more you are honest the more you get into trouble.

6. How many non beneficiaries besides Mr. Blunden have accessed laboratory services since you started working in the lab in 1988?

I cannot remember the exact number but there were several.

7. Where the non beneficiaries all civilian employees?

I cannot remember.

8. How many were civilian employees and how many were family members?

I cannot remember

9. The civilian employees who had their labwork drawn, were these labs drawn under the Occupational Health Clinic?

One person may have had labs drawn under Occupational Health, the other three I don't remember?

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT [REDACTED]	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE BE INDICATED.

STATEMENT OF [REDACTED] TAKEN AT GAHC DATED 2007/09/26

J. STATEMENT (Continued)

10. Was the accessing of laboratory services by non beneficiaries something that occurred over and over or was it a single incident?

No, from what I remember it was a one time thing. It happened and did not happen again.

11. Do you currently know of any non beneficiaries accessing laboratory services?

No, not since about 2005 other than Mr. Blunden in early 2006. It seems that things seemed to change a couple of years ago and non beneficiaries are not accessing laboratory services.

12. What is the process for verifying if a patient is a beneficiary?

When the patient presents at the front desk the receptionist has the patient sign in on a clipboard and asks for their identification card. The computer is checked to see if active orders exist for labwork. If labs are in the computer system the patient is considered eligible as the patient has been screened earlier by the clerks at the providers office.

13. What happens if no order exists in the computer system for lab work to be drawn?

If the patient has seen a provider inside the clinic, the lab staff will call the provider to get a lab work order or send the patient back to the clinic or provider to get lab order put into the computer system.

If the patient has seen a provider outside of the clinic (a network provider), they should present with a laboratory slip from the outside provider which states what lab test are to be drawn. If the patient does not present with a lab slip, the lab staff will call the provider's office if the patient knows which provider they saw. If they do not remember which provider they saw, the lab staff will explain to the patient that lab work cannot be drawn without an order. The patient is sent back to the outside provider to get a lab slip.

14. When you view orders in the computer system for patients that present to the lab for labwork, can you see what clinic and provider ordered the lab?

You are able to see the clinic but you are not able to see which provider ordered the lab work until the blood work is actually processed. After the blood is processed, you are able to determine who ordered the lab test as the provider's name has to be entered with the lab results. The provider's name is entered into the computer system so that the provider is aware of the laboratory results.

[REDACTED]  
*nothing follows*

INITIALS OF PERSON MAKING STATEMENT [REDACTED]

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

*Nothing to follow*

AFFIDAVIT

I, [REDACTED], HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT

[REDACTED]  
(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 20 day of September 2007 at Yuthrie Ambulatory Health Clinic

ORGANIZATION OR ADDRESS

[REDACTED]

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

AR-15-6

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT



DEPARTMENT OF THE ARMY  
U. S. ARMY MEDICAL DEPARTMENT ACTIVITY  
FORT DRUM, NEW YORK 13602-5004

REPLY TO  
ATTENTION OF

MCID-AN

26 September 2007

MEMORANDUM FOR RECORD

SUBJECT: Informal Investigation Interview- [REDACTED]

1. During a witness interview with [REDACTED] lab technician indicated that she informed [REDACTED] Chief of Laboratory Services, about Mr. Blunden being a non beneficiary accessing laboratory services. [REDACTED] indicated that she knew Mr. Blunden was an employee but also knew that some of the tests Mr. Blunden were not lab test that he would be entitled to through the Employee Health Program. [REDACTED] also stated that when she informed [REDACTED] about Mr. Blunden using lab services that she was directed that non beneficiaries should not be using the lab unless they are directed to do so by Occupational Health.
2. [REDACTED] that she had seen approximately four other non beneficiaries who were not eligible for care at Guthrie were also using laboratory services. When I asked [REDACTED] who these individuals were she indicated that she did not remember. She does state that the non beneficiaries were both civilian employees and family members of employees. [REDACTED] stated that this activity has stopped since about 2005.
3. If you have any questions, please contact the undersigned at (315) 772-3811

[REDACTED]

Investigating Officer

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**X**



STATEMENT OF [REDACTED] TAKEN AT GAHC DATED 2007/09/20

9. STATEMENT (Continued)

12. During the time that you worked in the Occupational Health Clinic, did anyone else have the capability to order lab work under your name?  
Yes, every staff member in the Occupational Health Clinic had the capacity to order lab work under my name. I was OK with it.
13. What time frame or dates were you assigned to cover the Occupational Health Clinic?  
Approxiamately July 03 until fall 04.
14. Do you have any other information regarding employees who are not beneficiaries using services here at Guthrie?  
When I first started working at Guthrie, the organization was much looser on non beneficiaries receiving care in the Emergency Room and then the Urgent Care Center. When this was found to be the case through an investigation, [REDACTED] (previous MEDDAC Commander) put out an edict that only beneficiaries would receive care.  
  
There were times that I prescribed creams for employees under the Occupational Health Program. Under the Occupational Health umbrella I would prescribe such creams to save the employee from taking a day off to see their private physician. This was done as employees got chaffed dry hands from washing their hands all the time.
15. Did you know that individuals you were prescribing creams to were not authorized care at this facility? Yes, but they would be coverdd as employees with Occupational Health associated illness.
16. Did you know if employees that your prescribed creams for had the prescription filled through our pharmacy?  
Yes, not 100 percent as some had prescriptions filled under their own health plan.
17. Did you ever report your knowledge of non beneficiaries using the Emergency Room or Urgent Care Center to MEDDAC Leadership?  
No, as I only heard about the residual effects after the investigation had been completed. There were rumors that people were dismissed because of misuse of services. I do not have first hand knowledge of anyone being dismissed..

nothing follows [REDACTED] 6/20/07

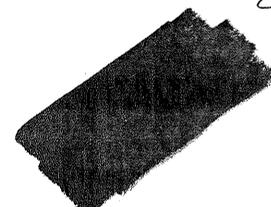
INITIALS OF PERSON MAKING STATEMENT [REDACTED]

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

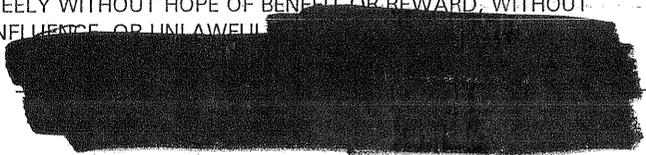
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AFFIDAVIT

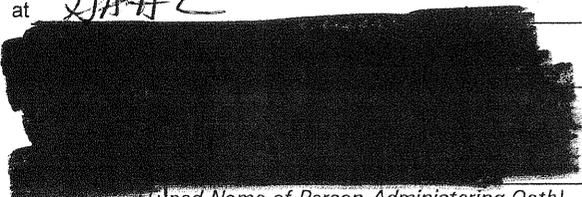
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WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 8 day of November, 2007 at JAH C

ORGANIZATION OR ADDRESS



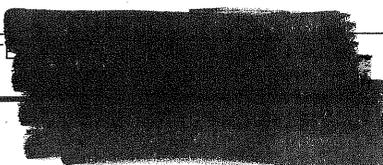
(Typed Name of Person Administering Oath)

AR 150

(Authority To Administer Oaths)

ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT



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**SWORN STATEMENT**

For use of this form, see AR 190-45; the proponent agency is ODCSOPS

**PRIVACY ACT STATEMENT**

**AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSM).  
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**ROUTINE USES:** Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.  
**DISCLOSURE:** Disclosure of your social security number is voluntary.

1. LOCATION Guthrie Ambulatory Health Clinic	2. DATE (YYYYMMDD) 2007/09/26	3. TIME 1130	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME [REDACTED]	6. SSN [REDACTED]	7. GRADE/STATUS [REDACTED]	
8. ORGANIZATION OR ADDRESS 11050 Belvedere Blvd., Fort Drum, NY 13602-5004			

9. I, [REDACTED], WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

- Proir to this current investigation did you have any prior knowledge that Mr. Bluden was ordering lab work for himself.  
No, I did not know Mr. Blunden was ordering lab work for himself. However, when I first arrived and started working in 1997, Mr. Blunden did place an order for labwork into the computer system and I did have lab work drawn. This was when I was brand new to the system and did not know any better.
- Do you do any type of audits within the pharmacy to check for any type of unauthorized activity such as individuals ordering medications or supplies for themselves.  
No, as I had no reason to believe any unauthorized activity was occurring. My pharmacy tech monitors orders for high volume and high dollar items such as syringes and test strips and alerts me if large orders were to be placed. I continually ask her but nothing has come up yet. High value medications are under management control which means they are accounted for in a very strict manner.
- In the computer query of orders (Tab M) entered into the computer system by Mr. Blunden in CHCS I, our computer system, I discovered that there were were four medication orders placed by Blunden. Do you think the medication orders are valid orders that were place by Mr. Blunden.  
No, Mr. Blunden would have to be crazy to order a medication for himself. These orders were orders that were test orders that should have been entered into the computer system using a test patients. Meaning patients who are non existent patient within the computer system used for teaching purposes for ordering. Our pharmacy techs have the ability to order prescriptions and I know for a fact that Mr. Blunden did not place these particular orders.
- Is there any way to determine who placed the medication orders?  
Yes, In the computer ordering system there are fields which identify who placed a particular medication order. The individual(s) who entered the order in the computer systems initials will appear on the ordering field.
- Can you provide me with printouts that demonstrate how you can determin who placed a medication order in the computer ordering system?  
Yes, the print out will show the initials of the individual who placed the order.
- On Tab M, the short medication profile for Mr. Blunden what do the letters E and D stand for under status?  
E stands for expired and D stands for Deleted.

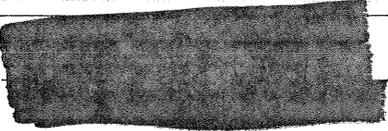
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF [REDACTED] MADE AT \_\_\_\_\_ DATED \_\_\_\_\_"

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USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF



TAKEN AT

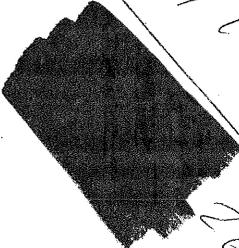
CAHC

DATED

26 Sept 2007

STATEMENT (Continued)

Nothing to follow  
26 Sept 07



INITIALS OF PERSON MAKING STATEMENT



PAGE 2 OF 3 PAGES

STATEMENT OF



TAKEN AT

GAHC

DATED

20 Sept 2007

9. STATEMENT (Continued)

Nothing to follow  
26 Sep 07

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(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 20 day of September, 2007 at Guthrie Ambulatory Health Clinic

ORGANIZATION OR ADDRESS



(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

AR 15-6

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT



PAGE 3 OF 3 PAGES



DEPARTMENT OF THE ARMY  
U. S. ARMY MEDICAL DEPARTMENT ACTIVITY  
FORT DRUM, NEW YORK 13602-5004

REPLY TO  
ATTENTION OF

MCID-AN

26 September 2007

MEMORANDUM FOR RECORD

SUBJECT: Informal Investigation Interview- [REDACTED]

1. During a witness interview with [REDACTED], Chief of Pharmacy Services, indicated that he had no prior knowledge that Mr. Blunden had ordered lab work for himself. [REDACTED] does admit that early in his employment (1997) at Guthrie Ambulatory Health Clinic that Mr. Blunden did order lab work for him. [REDACTED] states that at the time he was a staff pharmacist and did not know that employees could not access laboratory services unless they were directed to do so through the Employee Health Program. [REDACTED] stated that this was a one time occurrence and it has not happened since that time.

2. [REDACTED] states that there is not formal auditing system that occurs within the pharmacy. [REDACTED] regularly checks with his pharmacy tech to determine if high volume or high dollar orders are placed which would indicate that unauthorized activity might be occurring. The pharmacy also uses an electronic medication counting system which has very stringent controls for dispensing medications. All medications go through a three step quality control system which checks and records the medication, dosage, number of pills dispensed and refills. This computerized system places checks and balances within the system and has the capability to determine which pharmacy employee, i.e. tech or pharmacist entered a medication order and dispensed the medication.

3. [REDACTED] has provided computer print-outs from the CHCS I (electronic medical record and ordering system) which demonstrate that Mr. Blunden did not enter the medication orders which appear to have been ordered under his name (Tab M). The orders were test orders that were entered by other pharmacy personnel and should have been entered into the electronic computer system using test patients. In essence, the orders were for teaching purposes. The computer print-outs show [REDACTED] and [REDACTED] entered the orders.

MCID-AN

SUBJECT: Informal Investigation Interview- [REDACTED]

4. If you have any questions, please contact the undersigned at (315) 772-3811

[REDACTED]

Investigating Officer

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**Z**